

1. Corporate Qualifications

C. ADDITIONAL SUBMITTALS

10. Correctional Facility Disturbances

Introduction

Incident management and emergency services are frequently tested during the day-to-day operation of both public and private criminal justice facilities. The GEO Group's (GEO) fundamental approach is to manage incidents and emergencies proactively, not merely reactively. In our 20 years of providing operation and management services to customers throughout the world, we have found that this proactive approach contributes greatly to the prevention and/or sound management of emergencies and incidents.

GEO ensures proper training is given to all staff to identify potential crisis situations, take appropriate preventive and/or proactive management action, and to carefully evaluate such incidents after an occurrence ("lessons learned") to identify what went wrong, how it went wrong and what steps should be taken to avoid recurrence. In an effort to reduce the number of emergency situations, GEO will:

- train senior staff in the proactive management of situations that could escalate to serious incident or emergency status;
- prevent incidents from escalating by acting decisively, firmly and objectively, utilizing well-trained staff applying sound management procedures;
- · create an immediate and appropriate response to emergency situations as they may occur;
- resolve incidents so that they result in the least disruption possible, safeguarding the welfare of the staff, inmates, property and community;
- respond to contingencies to maintain the orderly operation of the sections of the institution not involved in the incident, and return the affected parts of the institution to normal operations as soon as possible;
- work closely with local officials such as law enforcement and emergency services (fire, hospital) to develop contingency plans, conduct drills, and respond collectively to emergency situations as they may occur.



Response to Emergencies

GEO's operations reflect our belief that disruption resulting from emergencies and other incidents can be minimized through proactive management and positive inmate relations. We recognize, however, that despite the best management, such incidents can occur. We understand the importance of carefully developed response plans to manage events that interrupt the normal routine or expectations of the daily life at the facility. The primary motivation in the development of these plans is the safety and security of the public, staff, and inmates. We will accomplish this task by:

- developing, in coordination with local, state, and federal agencies, the necessary and appropriate response plans, that set out clear levels of responsibilities and action to be taken;
- thoroughly training all staff in the execution of the response and contingency plans;
- subjecting all plans, and the staff, to regular testing through exercise and practices and amend plans as necessary thereafter;
- cooperating closely with local officials in the development, practice and implementation of the response and contingency plans.

Emergency plans (both response and contingency) will:

- establish clear levels of responsibilities and accountability;
- specify the action to be taken;
- be available to staff;
- be subject to regular testing;
- be updated or amended as experience might suggest.

Correctional Facility Disturbances

As required by the ITN, GEO has gathered correctional facility disturbances information for those facilities operated by GEO in the United States since 1995 – meeting the definition of "criminal justice facility", i.e., a place of total confinement for convicted felons where no felon is allowed off the premises without the supervision of a sworn peace officer.



GEO has identified thirty-one "criminal justice facilities" that we have operated in the United States since 1995.

Of the thirty-one facilities, six of those facilities are no longer operated and managed by GEO; however, correctional facility disturbance information is provided for those six facilities as well.

In order for the DMS, the DOC and the evaluation team to have a clear understanding of the correctional facility disturbances information presented, we believe it is essential to provide our assumptions and/or other information that supports the detailed contained in **Attachment A.**

Gathering of Information

Consolidated computerized records were not available until September 2000. The information collected prior to September 2000 was gathered from individual facility incident reports and/or archived records as presented in Attachment A.

Deactivated Correctional Facility Disturbance Information

Six of the thirty-one identified correctional facilities are no longer operated and/or managed by GEO; however, we have included the correctional facility disturbances information (to the best of our knowledge) from 1995 to the date of deactivation for these six discontinued facilities:

- Willacy State Jail (Texas) was deactivated and transitioned on January 17, 2004
- John R. Lindsey State Jail (Texas) was deactivated and transitioned on January 17, 2004
- Bayamon Correctional Facility (Puerto Rico) was deactivated and transitioned on June 22, 2002
- Scott Grimes and Ronald McPherson Correctional Facilities (Arkansas) were deactivated and transitioned on July 2, 1001
- Travis County Community Justice Center (Texas) was deactivated and transitioned on November 8, 1999

Assumptions Related to Correctional Facility Disturbances (Definitions)

Staff Fatalities: Includes fatalities resulting from a "disturbance". Example: If as a result of a forced cell move an officer suffers a heart attack and later passes away at the hospital, this death would be included in the



information. However, if during the normal course of his/her duties an officer suffers a heart attack and later passes away, this death would not be included. This category would include homicide of an officer in the line of duty.

Inmate Fatalities: Includes fatalities resulting from a "disturbance". Example: If as a result of a forced cell move an inmate suffers a heart attack and later passes away at the hospital, this death would be included in the information. However, if during the normal course of an inmate's day he/she suffers a heart attack and later passes away, this death would not be included. This category does not include death by natural causes. It does, however, include suicides and homicides.

Hospitalization: Includes hospitalizations resulting from a "disturbance" or "incident". Hospitalization is defined as an admission to the hospital for at least one night. An inmate who is assaulted by another inmate or staff member and sustains injuries that require a trip to the hospital and who is admitted for at least one night would be included in the information. If injuries are treated at an outside hospital and the inmate is returned to the facility the same day, the incident would not be included.

Battery of Staff or Inmate: Includes inmate-on-inmate assaults, inmate-on-staff assaults or staff-on-inmate assaults. Again, it is assumed that the assault resulted in significant injuries and that the inmate or staff member was hospitalized (admitted for at least one night). The injuries (to inmates or staff) could also result from a use-of-force incident.

Riot or Strike: Includes incidents involving 10 or more inmates and/or incidents causing significant operational disruption (lockdown of major unit or units within the facility), or significant property damage or serious injury to inmates or staff that resulted in hospitalization (admitted for at least one night).



Arrest of Facility Staff Member: Includes staff members who were arrested on or off the property for some type of work-related issue. The reported arrest should be related in some way to the employee's work or other connection to the facility. This would include arrests for violations of civil rights (unnecessary or excessive use of force, etc.).

Escape: Includes an escape from a secure facility or from a site away from a secure facility while under the direct supervision of GEO employees. It would include escapes from an off-site hospital, GEO work detail or during GEO supervised transportation. This would not include an inmate that fails to return from an unescorted furlough or who was released from custody and failed to report to a halfway house. It would not include inmates or detainees that fail to return to the facility from a non-GEO supervised off-site job or work assignment or "walk-aways" from a minimum security (facility with no perimeter fence) camp type facility.

Attachment A to this section provides the required correctional facility disturbances information, by each GEO criminal justice facility, as requested in the ITN.

Additional Notes:

- 1. Only incidents that occurred as a result of a facility disturbance or facility-related events are included. Fatalities as a result of natural causes are not included. Arrests of staff that were not work-related are not included. Hospitalizations related to natural causes or chronic conditions are not included (hospitalizations and/or fatalities from battery, riot, strike, homicide, suicide, etc. are included).
- 2. The established end date on the individual facility lists of Correctional Facility Disturbances was noted as December 31, 2004. Since that time and as of February 8, 2005 there have been three additional disturbances that fall into one of the reportable categories. In the interest of full disclosure those incidents are listed below:



- a. Allen Correctional Center Kinder, LA 1538 Bed Adult Male Minimum, Medium, and Maximum Custody facility. On January 19, 2005 in a living area television room (D2 TV Room) an inmate on inmate battery resulted in a stabbing death. The inmate victim was transported to outside hospital for treatment of stab wounds, and did not recover. (See Allen Facility Correctional Facility Disturbances Worksheet for Contact Information).
- b. Lawton Correctional Facility Lawton, OK 1918 Bed Adult Bed Medium Custody Facility.
 On January 20, 2005 in the protective custody unit an assailant inmate committed what appears to be a homicide on his cell mate. Staff found the victim inmate lying in his bunk with what appeared to be a cord/rope around his neck. The assailant has confessed to local officials that he committed a homicide. (See Lawton Facility Correctional Facility Disturbances Worksheet for Contact Information).
- c. Central Texas Detention Facility San Antonio, TX 643 Bed Adult Male (all custody levels). On February 3, 2005 a male officer, upon return to the facility from his lunch break, was arrested for possession with intent to deliver. The officer was in possession of vodka (concealed in a water bottle) and a small amount of cocaine between two socks.

Attachment A

California

Central Valley Community Correctional Facility Desert View Community Correctional Facility Golden State Community Correctional Facility Taft Correctional Institution

New Mexico

Guadalupe County Correctional Facility Lea County Correctional Facility

Oklahoma

Lawton Correctional Facility

Texas

Bridgeport Correctional Facility
Central Texas Detention Facility
Cleveland Correctional Center
John R. Lindsey State Jail – no longer GEO-operated
Kyle Correctional Center
Lockhart Secure Work Program Facilities (Male)
Lockhart Secure Work Program Facilities (Female)
North Texas Intermediate Sanction Facility
Reeves County Detention Complex 1 & 2
Reeves County Detention Complex 3
Sanders Estes Correctional Center
Travis County Community Justice Center – no longer GEO-operated
Willacy County State Jail – no longer GEO-operated

Louisiana

Allen Correctional Center

Arkansas

Ronald McPherson Correctional Facility – no longer GEO-operated Scott Grimes Correctional Facility – no longer GEO-operated

Mississippi

East Mississippi Correctional Facility Marshall County Correctional Facility

Florida

Moore Haven Correctional Facility South Bay Correctional Facility

North Carolina

Rivers Correctional Institution

Virginia

Lawrenceville Correctional Center

Michigan

Michigan Youth Correctional Facility

Puerto Rico

Bayamon Correctional Facility - no longer GEO-operated